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Deutsche Gesellschaft für Internationale



## Outline

Unit	Торіс
1	Introduction to River Basin Management
2	Clear Governance and Coordination Structure
	Governance (legal aspects and framework)
	Basin Coordination Structures (basin institutions and stakeholder engagement)
3	Basin Characterisation
	DPSIR Assessment
4	Determining Basin Vision and Objectives
5	Design/ Adaptation of Monitoring Networks and Programmes
6	Assessment of Water Quality and Quantity
7	Implementation of RBM
	River Basin Plans and Programme of Measures (PoM), Financing and Review of PoM
8	Solutions through Exchange, Information Flow and Cooperation
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PARTNERSHIP

# 7 Implementation of RBM

7.1 RBM Plan7.2 Development of Programme of Measures (PoM)7.3 Aspects for Implementing PoMs / RBM Plans7.4 Review and Revise PoM

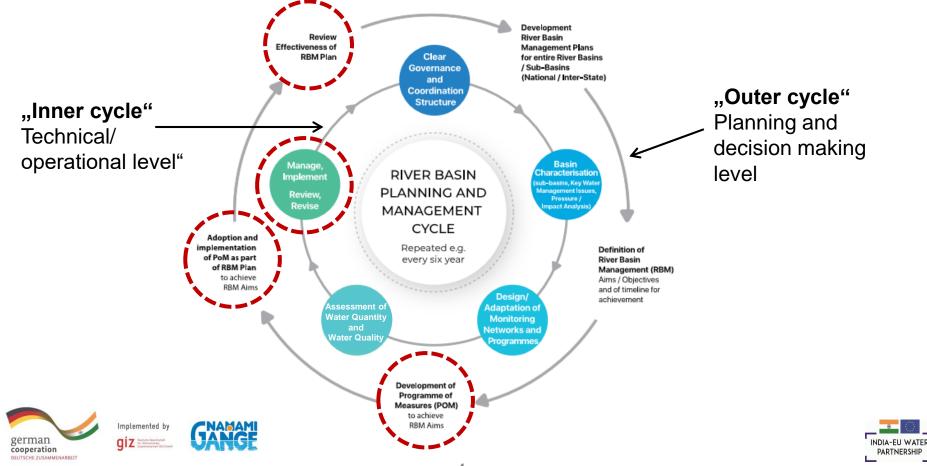








### The River Basin Planning and Management Cycle



# 7 Implementation of RBM

### 7.1 RBM Plan

7.2 Development of Programme of Measures (PoM)7.3 Aspects for Implementing PoMs / RBM Plans7.4 Review and Revise PoM



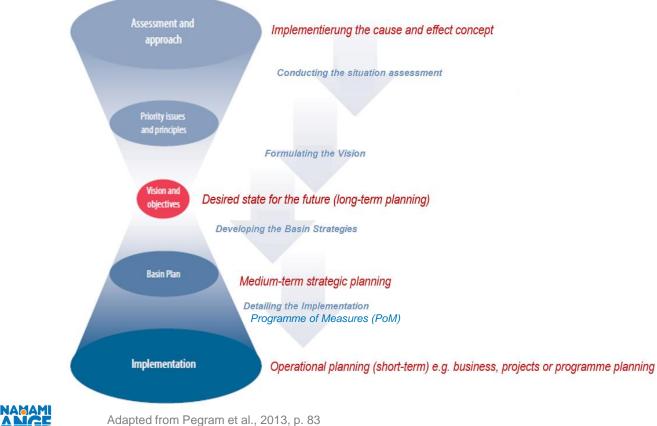








## Moving from Vision and Objectives to a Comprehensive Plan









# **Planning Basin Approaches**

Steps	Rhine River	Ganga River
Problem analysis: Analysing <b>pressures and</b> <b>impacts</b>	Water quality, flood risk, lack of fauna/ fish	Water allocation/ abstraction, water pollution (wastewater and solid waste), dams
Definition of long-term objectives: <b>vision</b>	Re-introduction of salmon	Aviral Dhara, Nirmal Dhara, geologic entity, ecologic entity
Definition of short-term objectives and results: <b>strategic planning</b>	Water quality targets, connectivity, flood risk management	(GRBMP 2015)
<b>Operational planning</b> to achieve results	River Basin Plans: Wastewater treatment, connectivity, flood retention, awareness, organisation	(GRBMP 2015)





### **Overview of the Planning Process**

- 1) Definition of joint vision
- 2) Identification of objectives
- 3) Comparison of objectives against state of the basin
- 4) Definition of measures in order to move towards objectives
- 5) Specification of measures for implementation
- 6) Drafting of management plan
  - Drafting of outline
  - Consultations
  - Drafting of plan and programme of measures
  - Consultations

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- Finalisation of plan and programme of measures
- 7) Dissemination of plan and programme of measures





### Structure and Content of a Basin Plan

The structure and content of a plan needs to be adapted to the needs of the basin and those involved in the planning and implementation process  $\rightarrow$  Typically, the structure of a plan includes:

### Description of the basin

- Current status
- Future trends
- Key water management issues
- Basin vision/ aims and objectives
- Implementation plan/ Programme of Measures (PoM)
  - Definition of measures
  - Responsibilities and resources
  - Monitoring mechanisms

Source: ADB River Basin Planning Principles (https://www.adb.org/publications/river-basin-planning-principles)





### Levels of Basin Plans

Internationally-coordinated basin plans

Nationally-coordinated basin plans

International river basin commissions

National river basin communities

Sub-basin plans

• Federal water or basin agencies





### **Examples of Structures of Basin Plans**

### Danube RMBP

Та	ble of	Contents	
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### Elbe RBMP

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### Can be found on the e-learning platform!



### Ganga River Basin Management Plan

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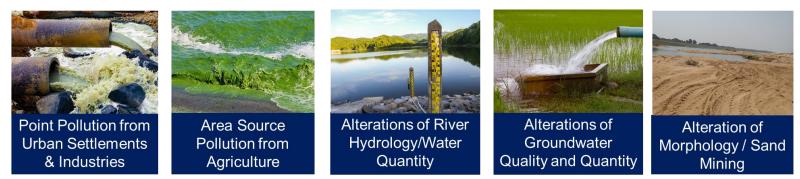
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Can be found on the e-learning platform!



# **Example: Tapi River Basin Management Plan**

Five **Key Water Management** Issues agreed on 29 March 2019 between the three Tapi States:



For each Key Issues:

- Visions and management objectives.
- Pressure/Impact Analysis and Risk Assessment including scenarios.
- Programme of Measures.
- Thematic maps are key to Tapi RBM Plan: easy overview on all issues on the basin –wide scale.





# 7 Implementation of RBM

### 7.1 RBM Plan

7.2 Development of Programme of Measures (PoM)7.3 Aspects for Implementing PoMs / RBM Plans7.4 Review and Revise PoM



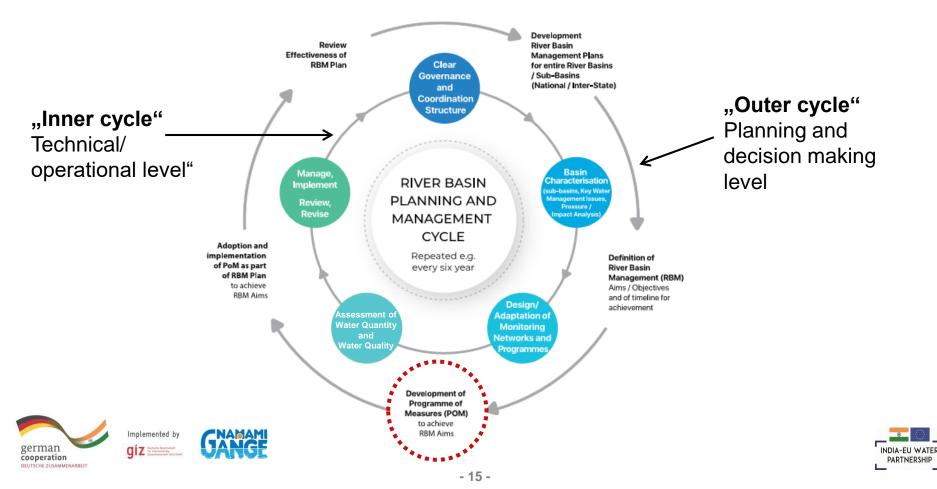








### The River Basin Planning and Management Cycle



## **Programme of Measures (PoM)**

 Once a joint vision and specific objectives for basin management have been defined, a PoM is needed

### A PoM

- Summarises all measures that need to be taken to reach the vision and the objectives
- Provides a list of those measures in a systematic manner
- Provides details on their implementation
- Attaches timelines and implementation plans to the respective measures
- Indicates resources needed for implementation (financial, technical, human)





### Elements of the RBMP and its PoM: The EU WFD Conceptual Approach

- Environmental quality standards
- Best available technology
- No further deterioration but restoration
- Combined approach
- Best practicable environmental option
- Enforcement of permits





## **Emission Cadastres as Decision Support Tool for PoM**

- Build up an emission cadastre of communal/urban and industrial point sources
- Identify point sources of pollution from urban and industrial sources using COD/BOD load
- Identify priority hazardous substances to be reduced by river monitoring programmes
- Reduction of industrial emissions → Hazardous substances from chemical, pharmaceutical, cellulose fabrication, paper and metallurgic industry





### **Sewage Treatment Prioritisation**

How to identify measures of highest effectivity (pollution) and efficiency (finance):

a robust and pragmatic approach from the emergency immediate action programme of the Elbe River 1990.

### Urban sewage point sources

- The amount of sewage water load possible to reduce by treatment at source
- Discharge points directly on the river
- Discharge points on tributaries to the Elbe river ranked by distance to confluence with the river

### Industrial point sources

- Industrial point sources which contribute more than 5% of the total load of the river measured at a defined downstream monitoring transect
- Industrial discharge points sources discharging directly into the river
- Industrial discharge points on tributaries to the Elbe river ranked by distance to confluence with the river





# Theoretical Example: Moving from Water Quality Vision to Specific Measures

**Vision:** A healthy river free of pollutants that threaten human and ecosystem health **Objectives:** reduction of water pollution (by contaminant X) at Y % **Measures:** 

- Construction of x wastewater treatment plans in area y of the basin
  - Specific aim of measure: reduction of emission of pollutant z by xx%
  - Activities
    - Planning of wastewater treatment plan
    - Acquisition of financial means for wastewater treatment plant
    - Construction of wastewater treatment plan
- Regulation of agricultural pollution run-off
  - Specific aim of the measure: Reduction of agricultural pollution/non-point source pollution
  - Activities
    - New laws and regulation limiting agricultural emissions
    - Introduction of polluter-pays-based wastewater charges for agricultural producers
    - New monitoring network in region x to monitor agricultural run-off







### Exercise: PoM for a Sub-basin of the Ganga River

Group work in (sub-) basin

- Choose one of the pressures of the DPSIR characterisation (TM1)
- Relate the pressure to one of the objectives of Ganga River Basin Management Plan
- Formulate a set of measures to achieve the objective in your sub-basin
- (Compare your ideas with the recommended actions in the GRBM Plan)

I. "Aviral Dhara" (Uninterrupted Flow)

II. "Nirmal Dhara" (Unpolluted Flow)

III. Geologic Entity

IV. Ecological Entity





### **RBM Role Game**

### **Objective of the exercise:**

The RBM Role Game is an interactive exercise that help to:

- Comprehend the different interests from stakeholders.
- Identify objectives for RBM.
- Prioritise measures to be implemented basin to achieve the objectives.

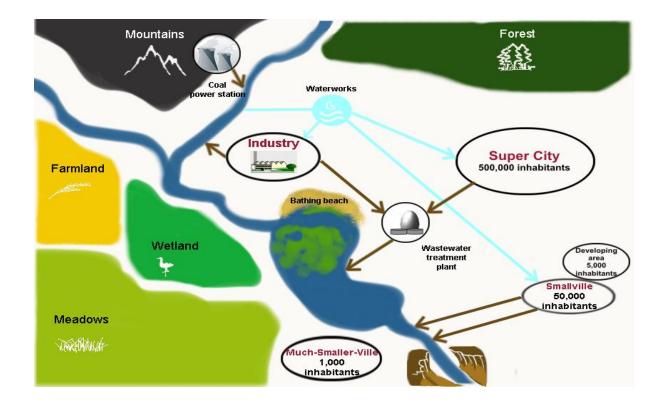
It is a role play for groups of at least 3 to 6 participants. Each group will have to:

- Read the information about the basin
- Each group-participant will have to take a role
- The group has to start discussion to develop the Basin Master Plan





### **RBM Role Game - DWA World University Challenge, 2014**









## **RBM** Role Game DWA World University Challenge, 2014

### Some facts and figures (1)

- Water quality and quantity of Lake Super-City is getting worse from day to day. A lot of algae is growing, O<sub>2</sub> concentration is low, water is getting turbid and residents are complaining about the odor, and temperature is too warm due to the cooling water from the power plant. Unfortunately, Much-Smaller-Ville will not be able to grow any further as the meadows will become a groundwater-protection-area. During drought season water scarcity is becoming more of a problem, especially for agriculture.
- Water supply of the "Much Smaller Ville" comes from the lake. This has to be stopped immediately because of the water quality problems. You want a sustainable drinking water system for the green province with high quality water and a buffer capacity during drought season. Water is taken directly from the river at the moment. More water is needed every year, as the number of inhabitants is increasing and the industry growing. Non-revenue water is 45%. The meadows have a very large aquifer which until now has only been used for agricultural irrigation.
- Wastewater treatment in the whole region has to be improved. Super City's wastewater treatment plant is old and only has a carbon-elimination (secondary treatment). Additionally, final clarifiers are hydraulically overloaded and flushing out suspended solids. There is no space for expansion. Smallville only has septic tanks. The outflow goes via public sewer system to the lake. Smallville is growing rapidly. Much-Smaller-Ville also uses septic tanks. There is no sewer system. Sludge of septic tanks is transported by trucks. The disposal of sewage sludge on farmland is not allowed any longer, because of high heavy metals concentrations.





## **RBM Role Game DWA World University Challenge, 2014**

#### Some facts and figures (2)

- Industry wants to expand, but water removal permit doesn't allow further water extraction from river and effluent concentration limits have been increased. You want to attract more industry and commerce to improve the region's financial situation and create more jobs. The existing industry has a very intensive water usage (process water and cooling water). Furthermore, all wastewater treatment technologies are end of pipe solutions. The wastewater streams are characterised by high COD, Ammonia and Phosphorus loads. All water production streams are above 25°C.
- Waste Management is old and has to be improved. The old landfill is full. Industry and city are looking for new alternatives. Industry and cities do operate together in waste collection.
- Electricity blackouts in the province are occurring more and more. Green activists always talk about waste-to-energy and biomass-to-energy. Find answers to their slogans. You are wondering whether you should still invest in the old coal power plant. The old coal power plant doesn't meet emission guidelines anymore. Too much water from the coal power plant is being led to the river, warming it up. There is a lot of wind in the mountains. However, wind alone might not be enough for the region. The effluent of the lake flows into a canyon. The geological conditions would allow the construction of a dam.





# **RBM Role Game - DWA World University Challenge, 2014**

#### Your team consists of the following:

- Government official(s) of the Green Province:
  - 1 representative from the towns majors: s/he wants to improve to solid waste problem.
  - 1 representative from the Ministry of Environment: concerned by the quality of the Lake.
  - 1 representative from the Ministry of Industry: s/he wants to develop further the industries in the region.
- 1 Representative of the water supply and wastewater utility: concerned by water supply and waste water treatment.
- 1 Representative of the energy supply utility: want to improve electricity generation.
- 1 Consultant whose role is to provide innovative ideas and facilitate the discussion.

#### Your common vision is:

Is to make the Green Province more attractive for new residents, new industries while also promoting ecofriendly tourism.

#### Your tasks:

- 1. Read the context (10 min).
- 2. Each participant chooses a role (5 min)
- 3. Identify up to 5 objectives to carry out the Vision (10 min).
- 4. Identify and place on the maps up to **10 measures** to achieve the objectives (40 min).





# **7 Implementation of RBM**

7.1 RBM Plan
7.2 Development of Programme of Measures (PoM)
7.3 Aspects for Implementing PoMs / RBM Plans
7.4 Review and Revise PoM











### Aspects for Implementing PoMs / RBM Plans

- Without the provision of financial resources, the Programme of Measures (PoM) cannot be implemented. The provision of financial resources requires a concrete financial plan coupled with the public budget.
- A Review of the RBM Plan needs to be done to learn about timelines, resources, construction capacities and further challenges to adapt the new plan accordingly.





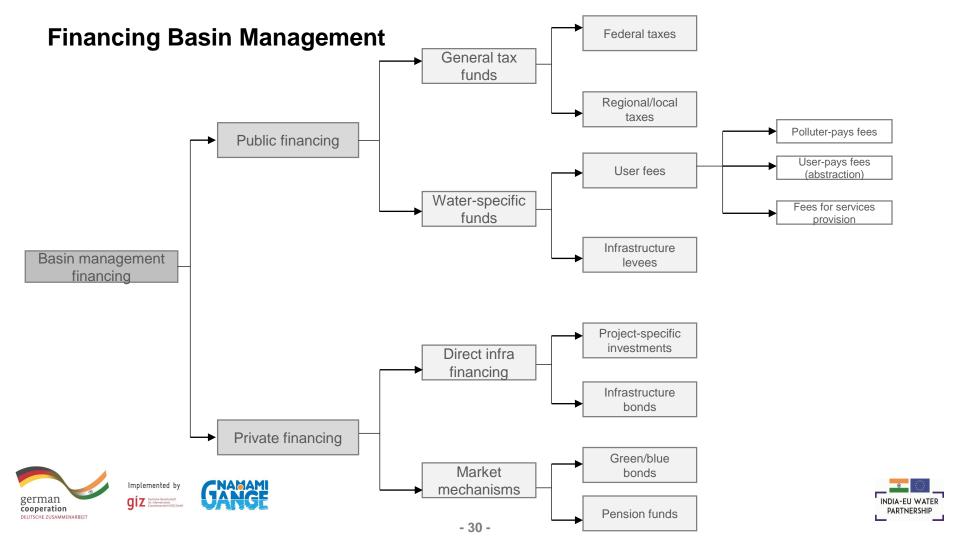
# **Sources of Financing for Basin Management**

Financing can come from **different sources**, depending on:

- The political and economic situation of a country (including federal states where applicable)
- The fiscal and budgetary system of a country
- The distribution of responsibilities for water management itself and for financing it They can include:
- Government budget (national state local)
  - Tax-funded
  - Fee-funded
  - Funded through dedicated government funds derived from e.g. wastewater and/or water abstraction charges
- Private funds/investments
- Public-private partnerships (PPP)
- International contributions e.g. Development cooperation/ Official Development Assistance (ODA) and/or dedicated funds







### Water Fees/ Charges as an Option for Financing Water Management Measures

- Charges, fees or market-based instruments such as permits provide an incentive for cost-effective investment in pollution clean-up
- Charges or fees will tend to be a lower cost method of achieving a given standard
- Public authorities levy fees and user-charges for services provided (e.g. effluent or refuse disposal), or where the revenue is used for a specific purpose (e.g. funding clean-up or abatement measures)
- They are collected from businesses as well as from private consumers

### Similar to taxes, fees and charges are implemented with a triple objective:

- Setting a price to promote more efficient resource use and to limit the demand
- Finance government services or pay for the protection of the environmental good provided ("full cost recovery") → Revenue collection by public authorities
- Promote accountability in the public sector → Price as important source information, awareness of the costs of the public services





### Source of Financing for Basin Management at Federal State Level

German Law for Wastewater Fees ("Abwasserabgaben-Gesetz" 1976, 2005, 2014)

- The wastewater fee is paid for pollution loads e.g. by wastewater treatment plants
- Per pollution unit the polluter has to pay 35.79 €
- Pollution units are 50 kg CSB, 25 kg Nitrogen, 3 kg Phosphorous, 2 kg Chlorine of AOX, heavy metals, and fish toxicity
- The fee/ charges received from polluters are reinvested in improving the quality of surface waters

### Water abstraction charge ("Wasserentnahmenentgelt")

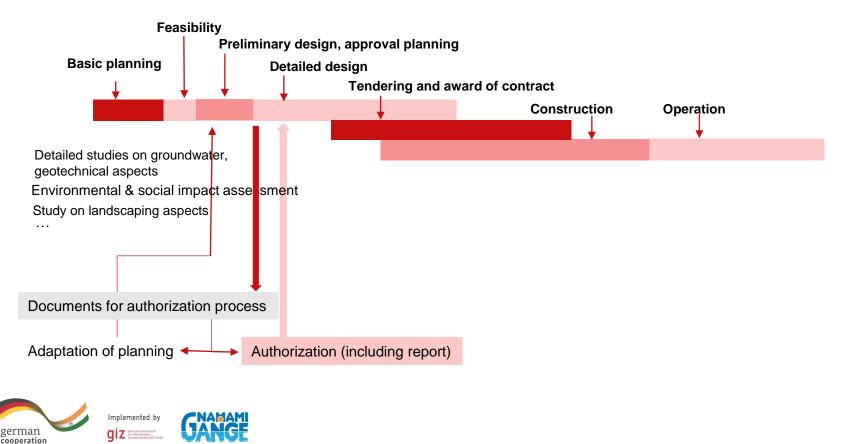
- Charge for abstracting groundwater and surface water introduce in 13 out of 16 German federal states during the period 2008-2013
- About 4-12 cent/m<sup>3</sup> for groundwater, partly surface water
- $\leq$  1 cent/ m<sup>3</sup> for cooling in power plants





## **Tasks for Planning and Constructing Public Infrastructure**

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# **Roles for Planning and Constructing Public Infrastructure**

#### Engineering Construction Environmental Public administration companies experts company Bid for tender Steer authorisation, Construct Prepare comment planning **Basic planning** infrastructure **Environmental Impact** documents Assessment **Pre-feasibility** Plan and steer Prepare tendering construction project Prepare studies on Basic design, license documents landscaping, planning Steer sub-contractors biodiversity Steer tendering and Detailed design Monitor costs, recontracting negotiate in case of **Construction control** Negotiate contracts changes Monitor costs and implementation





### **Example: Planning Approval Documents for Flood Control Reservoir Bavaria**

Flood Control Reservoir (HRB) Feldolling, Mangfall



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- Explanatory report
- Plans, including property
- Inventory of construction elements
- Construction plan
- Photo documentation
- Watershed / basin plan
- Water depths, reservoir emptying, reserve water supply, inundation area
- Longitudinal cut, cross sections
- Inlet constructions
- Flood spillways
- Road adaptation
- Drainage plans
- Hydraulic verification

- Model experiment for inlet
- Performance curves
- Geotechnical report and engineering expertise report
- Hydrogeological model report
- Groundwater model report
- Accompanying landscape conservation plan
  - biotope description
  - protection of species
- Environmental impact assessment
  - Including nature protection, conflicts, groundwater
- Fauna Flora- Habitat Guideline-Compatibility study



### **Exercise: Implementing PoMs**

Discuss in your group and for your (sub-)basin what are the requirements for implementing the PoMs while considering the following:

- Planning/ scheduling of the implementation activities
- Financing
- The permitting/ authorisation process
- Construction phase
- Operational phase

What, in your opinion works well and where do you see bottlenecks for the implementation of river basin management measures?





# **7 Implementation of RBM**

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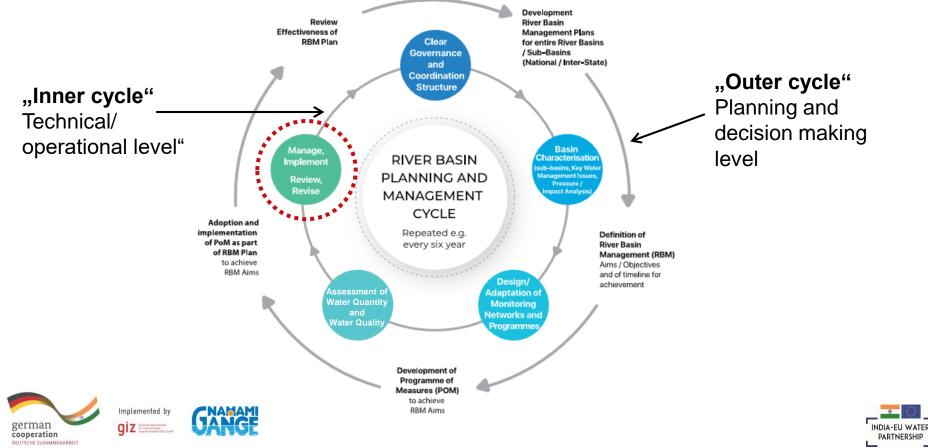






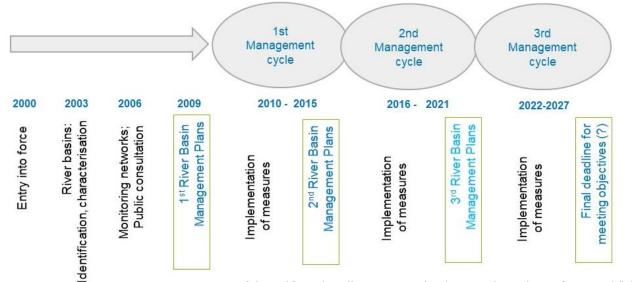


### The River Basin Planning and Management Cycle



### Manage, Implement, Revise, and Review the PoM

- Implementation of the first RBM Management Plan namely its PoM is followed by the revision and verification of the success of the PoM within the RBMP timeline
- In Europe: Years 2015, 2021,2027 → Cycle length 6 years

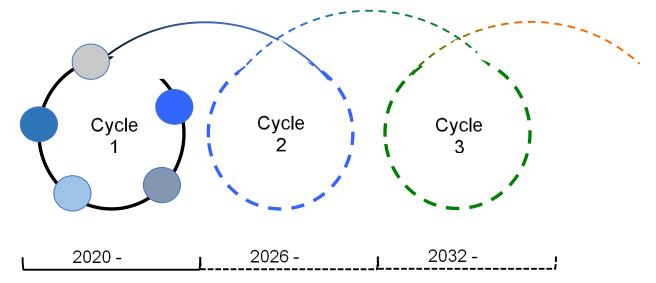


Adapted from: http://ec.europa.eu/environment/water/water-framework/info/timetable\_en.htm





### The River Basin Planning and Management Cycle



- RBM process requires planning over several years and implementation cycles that are to be repeated in a certain frequency (e.g. 6 years).
- Each Cycle is a revision to adjust to new conditions in the basin and to addresses new challenges.





Thank you for giving us the opportunity to share our experiences with you!

### Continued engagement pre and post webinar

1. For queries and related engagements contact GIZ colleagues: **Delhi Office:** 

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Uttarakhand (Dehradun) Office:

• Mr. Merajuddin Ahmad (merajuddin.ahmad@giz.de)

### 2. E-Learning platform - http://78.46.247.119/

(Temporarily hosted on AHT servers and will be transferred to the servers of training institutes.) Contact: Rania -taha@aht-group.com/ Rebecca - roblick@aht-group.com





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